Print Form

Nebraska Department of Banking and Finance
Financial Institutions Division
http://www.ndbf.org/

Commerce Court, 1230 "O" Street, Suite 400
PO Box 95006
Lincoln, NE 68509-5006
(402) 471-2171

Application for Approval of Director

This Space for Department of Banking and Finance Use Only:

Approved **Denied**

Director **Date**

Section I - General Information/Instructions

This application form must be completed for each new director. All answers should be typewritten or legibly printed. All questions must be answered. If additional space is needed on any question(s), please attach extra sheets and reference them accordingly.

Submit **one originally signed application**, an **originally signed current personal financial statement** of the applicant, and a copy of the applicant's **current résumé** to the address listed above. Use of the Department form is optional. Click here to access the form.

If the Applicant is currently NOT a director of a Nebraska state-chartered bank or trust company, submit a copy of all Criminal History Reports for the Individual Applicant acquired through the appropriate state Criminal History Record repositories. A Criminal History Report must be acquired from each state in which the applicant has resided over the past ten years. Click here for a link to the Criminal History Record repositories for each state. Bank has the option or acquiring a Fingerprint Criminal History Report from the Nebraska State Patrol, which covers nationwide, and can be submitted in substitution of a report from the states listed above.

Pursuant to the applicable statutes, application is hereby made to the Department of Banking and Finance requesting approval of the following person to act as a director of the indicated institution.

Section II – Applicant Information

1. Complete the following.

Institution Name: _____ Date: _____

Institution's Complete Street & Mailing Address: _____

Applicant Name: ___ Mr. ___ Mrs. ___ Ms. : _____ Date of Birth: _____

Applicant Street Address: _____

City: _____ Zip: _____ Social Security Number: _____

2. Section 8-126 of the Nebraska Banking Act and Section 8-204 of the Nebraska Trust Company Act require that a director be of good moral character, known integrity, business experience, and responsibility. In support of these requirements, please submit the **Applicant's Present Business Occupation and Director's Qualifications**:

Section I – Applicant Information (cont'd)

3. Read "The Director – Duties and Responsibilities" and complete the following.

I, _____ , Applicant, do hereby certify that I have read, and that I understand the Department's publication, ***"The Director-Duties & Responsibilities"*** (available via the Department website http://www.ndbf.org, or by mail from the Department).

Applicant's Signature

Section II – Institution Information

1. What is the institution's plan for

(a) initial director education and orientation?

(b) continuing education of the proposed director regarding statutory, fiduciary, and operational responsibilities? (*Past service as a director is not a satisfactory response*.)

2. Complete the following.

(a) I, _____, President of the above-named institution, do hereby certify that the applicant named herein is a person of good moral character, known integrity, business experience and responsibility, and possesses attributes necessary to effectively act in the capacity of director. I also certify that a Criminal History Report from each state in which the applicant has resided over the past ten years has been reviewed.

(b) Does applicant bank desire telephonic notification upon approval? ☐ Yes ☐ No

_____

President's Signature