Print Form

Nebraska Department of Banking and Finance
Financial Institutions Division
http://www.ndbf.org/

Commerce Court, 1230 "O" Street, Suite 400
PO Box 95006
Lincoln, NE 68509-5006
(402) 471-2171

Application for a Sales Finance License

Department Use Only:

Department of Banking and Finance, Lincoln, Nebraska
Accepted for Filing

Date: _____

By: _____

Section I - General Information/Instructions

An application form must be completed for each location that will be conducting business in Nebraska. All answers should be typewritten or legibly printed in ink. All questions must be answered. If additional space is needed on any question(s), please attach extra sheets and reference them accordingly.

Submit one originally signed application and a copy of Applicant's current (not over six months old) audited corporate financial statement to the address listed above. If Applicant does not have an audited financial statement, and Applicant is a wholly owned subsidiary, a copy of the parent company's audited corporate financial statement must be submitted. Attach an original surety bond, in the amount of fifty thousand dollars ($50,000.00), furnished by a surety company authorized to do business in Nebraska. The bond shall be for the use of the State of Nebraska and any Nebraska resident who may have a claim or cause of action against the applicant. A Sales Finance Bond Form is provided by the Department on its website for meeting this requirement. Include a check for one hundred fifty dollars ($150) made payable to the "Nebraska Department of Banking and Finance." An application will not be processed unless proper payment is received.

Once submitted, the application and all supporting documentation will be reviewed. The Department reserves the right to hold a hearing if deemed necessary to clarify any issue that may arise during the application process. An order will be issued approving or denying the application. No business may be conducted until a license is issued.

Section II – Applicant Information

1. (a) Give name (including all DBA names), address and county of Main Office of Applicant to be licensed in Nebraska.

Name:_____

Street Address: _____

City: _____ State:_____ Zip: _____

County:_____

2. (a) Give the name, address and contact person of corporate office if different from the location in Question 1.

Name:_____

Street Address: _____

City: _____ State: _____ Zip: _____

Contact:_____

1. (b) Main Office telephone, fax number and email address.

Telephone:_____

Fax:_____

Email:_____

2. (b) Corporate office telephone, fax number and email.

Telephone:_____

Fax:_____

Email:_____

3. Business structure:

(a) Form of organization: (Sole Proprietorship, LLC, Partnership, Corporation, LLP)

_____

(b) If entity, place and date of incorporation or formation:

_____

(c) If non-Nebraska entity, date qualified to do business in Nebraska:

_____

(d) If sole proprietor:

_____ _____
(Social Security Number) (Date of Birth)

4. Address where books, accounts and records will be kept:

☐ Main Office ☐ Above Corporate Location ☐ Other

If Other, give address below:

Section II – Applicant Information (cont'd)

5. List the names and addresses of the officers and directors if a corporation, or all partners, members, shareholders and any other persons having an interest therein if a partnership, limited liability company, or other entity.

Full Name and Official Title	Residence	Place of Business	Other Occupation

Section III – Business Operations

1. List all states in which applicant is currently licensed as a sales finance company:

2. Has any license or license application by this applicant been refused, denied, suspended, cancelled or revoked by any state or jurisdiction?

☐ Yes ☐ No

If yes, give full details:

3. Has applicant, or any of its shareholders, officers, directors, partners or members ever been charged with, indicted of, convicted of or pled nolo contendre to violations of any law, other than minor traffic violations?

☐ Yes ☐ No

If yes, give full details:

4. Has any applicant or shareholder, officer, director, partner or member ever been subject to an administrative investigation or proceeding in any state or jurisdiction?

☐ Yes ☐ No

If yes, give full details:

Section III – Business Operations (cont'd)

5. Has applicant or any business with which applicant has been associated ever been in bankruptcy? ☐ Yes ☐ No
If yes, give full details:

6. Has applicant or any business with which applicant has been associated ever been in receivership? ☐ Yes ☐ No
If yes, please explain:

7. Name, street address and telephone number of registered agent in Nebraska for receipt of service of process:

Name: _____

Street Address: _____

City: _____ State: _____ Zip: _____

Telephone: _____

Attach original written consent of the registered agent to the appointment.

8. Questions concerning the preparation of this application should be directed to:

Name: _____

Title: _____

Street Address: _____

City: _____ State: _____ Zip: _____

Telephone: _____

Email Address: _____

9. Questions concerning consumer complaints should be directed to:

Name: _____

Title: _____

Street Address: _____

City: _____ State: _____ Zip: _____

Telephone: _____

Email Address: _____

I represent that the information contained herein is true and complete, to the best of my knowledge and belief.

_____
Business Name

_____
Date

_____
Signature

_____
Name

_____
Title

Now before me, a Notary Public in and for the State of _____ *, County of* _____*, came* _____ *and who, upon presentment of sufficient identification, acknowledged that the foregoing instrument was executed by him or her as a voluntary act and deed, and was subscribed and sworn to before me this* _____ *day of* _____*,* _____ *.*

(seal) _____
Notary Public