

# Application for Approval of Director

This Space for Department of Banking and Finance Use Only:

Approved

Denied

\_\_\_\_\_  
Director

\_\_\_\_\_  
Date

## Section I - General Information/Instructions

This application form must be completed for each new director. All answers should be typewritten or legibly printed. All questions must be answered. If additional space is needed on any question(s), please attach extra sheets and reference them accordingly.

Submit **one originally signed application**, an **originally signed current personal financial statement** of the applicant, and a copy of the applicant's **current résumé** to the address listed above.

If the Applicant is currently NOT a director of a Nebraska state-chartered bank or trust company, you must include a **check payable to the Nebraska State Patrol in the amount of fifteen dollars (\$15.00)** to acquire a criminal history check through the Nebraska State Patrol. Your application will not be processed unless proper payment is received.

Pursuant to the applicable statutes, application is hereby made to the Department of Banking and Finance requesting approval of the following person to act as a director of the indicated institution.

## Section II – Applicant Information

1. Complete the following.

Institution Name:

Date:

Institution's Complete Street & Mailing Address:

Applicant Name: \_\_\_ Mr. \_\_\_ Mrs. \_\_\_ Ms. :

Date of Birth:

Applicant Street Address:

City: \_\_\_\_\_ Zip: \_\_\_\_\_ Social Security Number:

2. Section 8-126 of the Nebraska Banking Act and Section 8-204 of the Nebraska Trust Company Act require that a director be of good moral character, known integrity, business experience, and responsibility. In support of these requirements, please submit the **Applicant's Present Business Occupation and Director's Qualifications**:

**Section I – Applicant Information (cont'd)**

3. Read "The Director – Duties and Responsibilities" and complete the following.

I, \_\_\_\_\_, Applicant, do hereby certify that I have read, and that I understand the Department's publication, "***The Director-Duties & Responsibilities***" (available via the Department website <http://www.ndbf.org>, or by mail from the Department).

\_\_\_\_\_  
**Applicant's Signature**

**Section II – Institution Information**

1. What is the institution's plan for

(a) initial director education and orientation?

(b) continuing education of the proposed director regarding statutory, fiduciary, and operational responsibilities? (*Past service as a director is not a satisfactory response.*)

2. Complete the following.

I, \_\_\_\_\_, President of the above-named institution, do hereby certify that the applicant named herein is a person of good moral character, known integrity, business experience and responsibility, and possesses attributes necessary to effectively act in the capacity of director.

\_\_\_\_\_  
**President's Signature**