

LEGAL NAME: _____
NET CAPITAL COMPUTATION
AS OF CLOSE OF BUSINESS DATE: _____

TOTAL ASSETS	\$ _____
<u>SUBTRACT THE FOLLOWING ITEMS:</u>	
• PREPAID EXPENSES	\$ _____
• DEFERRED CHARGES	\$ _____
• GOODWILL	\$ _____
• FRANCHISE RIGHTS	\$ _____
• ORGANIZATIONAL EXPENSES	\$ _____
• PATENTS/COPYRIGHTS	\$ _____
• MARKETING RIGHTS	\$ _____
• UNAMORTIZED DEBT DISCOUNT AND EXPENSES	\$ _____
• ALL OTHER ASSETS OF AN INTAGIBLE NATURE	\$ _____
<u>SOLE PROPRIETOR</u> (Items not readily available in the case of an individual)	
• HOME	\$ _____
• HOME FURNISHINGS	\$ _____
• AUTOMOBILE(S)	\$ _____
• ANY OTHER PERSONAL ITEMS NOT READILY MARKETABLE	\$ _____
<u>CORPORATION</u>	
• ADVANCES OR LOANS TO STOCKHOLDERS OR OFFICERS	\$ _____
<u>PARTNERSHIP OR LIMITED LIABILITY COMPANY</u>	
• ADVANCES OR LOANS TO PARTNERS/MEMBERS	\$ _____
SUBTOTAL - NET TOTAL ASSETS	\$ _____
TOTAL LIABILITIES (SUBTRACT)	\$ _____
NET CAPITAL COMPUTATION	\$ _____

INSTRUCTIONS

This form is required to be completed at the time of initial registration and at renewal time. In addition: If the Net Capital is **less than \$25,000.00**, the firm is required to obtain a surety bond. If the Net Capital is **\$35,000.00 or greater**, the firm will only need to submit this form at renewal time. If the Net Capital is **between \$25,000.00 and \$35,000.00**, the firm can do one of two things:

1. Obtain a surety bond; or,
2. Provide this form no later than the 5th business day after the end of each month to the Department.

Submission of the form can be done by email or by mail:

Nebraska Department of Banking and Finance
1526 K Street Suite 300
PO Box 95006
Lincoln, NE 68508-2732

DOB.SecuritiesBureau@Nebraska.gov

This form is used to calculate Net Capital as required by [48 NAC 7.008 -Financial Requirements.](#)