

# Nebraska Sales Finance License Renewal Application

Nebraska Department of Banking and Finance

<http://www.ndbf.ne.gov>

Commerce Court  
1230 "O" Street, Suite 400  
PO Box 95006  
Lincoln, NE 68509-5006  
402-471-2171

This form must be completed and returned with all attachments to the Department of Banking and Finance, **postmarked NO LATER than September 19, 2011**. All answers should be typewritten or legibly printed in ink. All questions must be answered. If additional space is needed on any question(s), please attach extra sheets and reference them accordingly.

<p><b>1. Give name (including all DBA names), address and county of Main Office of Applicant to be licensed in Nebraska.</b></p> <p>Name: _____ Address: _____ County: _____ License #: _____ Website Address: _____</p>	<p><b>2. Give the name, address and contact of corporate office if different from the location in question 1.</b></p> <p>Name: _____ Address: _____ Telephone: _____ Fax: _____</p>
<p><b>3. Main Office telephone, fax number and email address.</b></p> <p>Telephone: _____ Fax: _____ Email: _____</p>	<p><b>4. Contact Person for questions regarding Application:</b></p> <p>Name: _____ Title: _____ Email: _____</p>
<p><b>5. Registered Agent in Nebraska for receipt of service of process:</b></p> <p>Name: _____ Street Address: _____ City: _____ State: _____ Zip: _____ Telephone: _____</p> <p>If the above is a change from the original application or the last renewal application, attach the original written consent of the new registered agent to the appointment.</p>	<p><b>6. Address where books, accounts and records will be kept:</b></p> <p>_____ Main Office      Above Corporate Location      Other</p> <p>If other, please give address below:</p>
<p><b>7. Questions concerning consumer complaints should be directed to:</b></p> <p>Name: _____ Title: _____ Street Address: _____ City: _____ State: _____ Zip: _____ Telephone: _____ Email Address: _____</p>	<p><b>Department Use Only:</b></p> <p>Department of Banking and Finance, Lincoln, Nebraska <b>Accepted for Filing</b></p> <p>Date: _____ By: _____</p>

8. Identify **all** officers, directors, shareholders, partners or members who are new to the Sales Finance Business since the original application or the last renewal, whichever is most recent. Include all individuals, proprietorships, associations, joint ventures, joint stock companies, partnerships, limited partnerships, limited liability companies, business corporations, nonprofit corporations, or any group of individuals, however organized (use an additional sheet if necessary). If the person(s) replaced someone, please give full details.

(a) Name and Title:	(b) Name and Title	(c) Name and Title:
Address:	Address:	Address:
Shares Owned:	Shares Owned:	Shares Owned:

		Yes	No	Attachments Required
9.	Is the licensee currently conducting, or contemplating conducting business as a Sales Finance Business, or related type business in any other state(s)?			If "yes," list <b>all</b> other name(s) of the Sales Finance Business(es) and indicate state(s) where business is conducted.
10.	Has licensee's or any affiliate's authority to transact business as a Sales Finance Business or related type business been revoked or suspended by any state or federal regulatory or law enforcement agency since October 1, 2032?			If "yes", give full details and <b>ATTACH A COPY</b> of the document(s).
11.	Has licensee or any affiliate been subject to any federal or state administrative investigation or order since October 1, 2032? Include order(s) and consent agreement(s) with any state, including Nebraska.			If "yes", give full details and <b>ATTACH A COPY</b> of any related document(s).
12.	Does the licensee or any affiliate have any administrative investigations or orders pending?			If "yes", give full details.
13.	Has licensee or any affiliate been fined by any state or federal regulatory agency since October 1, 2032? Include any fines by order(s) or consent agreement(s) with any state, including Nebraska.			If "yes", give full details and <b>ATTACH A COPY</b> of any document for states other than those reported into with the Department.
14.	Has any person associated with the licensee been charged with, or convicted of, any misdemeanor involving any aspect of a Sales Finance Business, or any business of a similar nature since October 1, 2032?			If "yes", give full details.
15.	Has any person associated with the licensee been charged with, or convicted of, any felony since October 1, 2032?			If "yes", give full details.
16.	Has the licensee or any officer, director, employee, or shareholder declared bankruptcy or undergone a corporate reorganization since October 1, 2032?			If "yes", give full details.
17.	Has the licensee undergone a business reorganization since October 1, 2032?			If "yes", give full details.

- 18. Submit the Applicant's most recent annual **audited** financial statement. If Applicant does not have an audited financial statement, and Applicant is a wholly owned subsidiary, a copy of the parent company audited corporate financial statement must be submitted.
- 19. Attach one check for the amount of one hundred fifty dollars (\$150.00). The check should be made payable to the "Nebraska Department of Banking and Finance." An application will not be processed unless proper payment is received.
- 20. Return the application, attachments and renewal fee(s) to the Department of Banking and Finance, **postmarked NO LATER than September 19, 2011.**

*I REPRESENT THAT THE INFORMATION CONTAINED HEREIN IS TRUE AND COMPLETE, TO THE BEST OF MY KNOWLEDGE AND BELIEF.*

\_\_\_\_\_ *Date*

\_\_\_\_\_ *Signature*

\_\_\_\_\_ *Typed Name and Title*

\_\_\_\_\_ *Business Name*

**ACKNOWLEDGMENT**

State of: \_\_\_\_\_

County of: \_\_\_\_\_

On this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_, personally appeared before me \_\_\_\_\_  
 \_\_\_\_\_ whose identity is personally known to me, or proved to me on the basis  
 of satisfactory evidence, and acknowledged that he or she signed the foregoing document on behalf of said business.

(seal)

\_\_\_\_\_ *Notary Public*