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## NDBF NOW ACCEPTING ONLINE FILING FOR UNIT INVESTMENT TRUSTS

April 22, 2019 (LINCOLN, NEB.) — The Nebraska Department of Banking and Finance (NDBF) today announced that the North American Securities Administrators Association’s (NASAA) Electronic Filing Depository (EFD) System has been expanded to accommodate the electronic filing of Form NF-UIT notice filings for unit investment trusts (UITs) with the NDBF.

Developed by NASAA, the EFD System was launched in 2014 and was initially used to facilitate the filing of Form D for Regulation D, Rule 506 offerings with state securities regulators and to pay related fees.

“By continuing to embrace technology, states are providing more innovative capital formation solutions to benefit issuers and investors alike,” NDBF Deputy Director Claire McHenry said.

Nebraska is among a growing number of states participating in the online filing of Form NF-UIT and among the 48 states participating in the online EFD system for Form D. Future system enhancements are being considered to accommodate the electronic filing of Form NF-Mutual Funds and franchise filings.

The Department’s expansion of online filing for unit investment trusts helps grow Nebraska and further the state’s mission of creating opportunities through more effective, more efficient and consumer focused state government.

The EFD website also enables the public to search and view, free of charge, filings made through EFD with state securities regulators. EFD is available at: <https://www.efdnasaa.org>.

“If you have questions about a particular offering, you should contact the NDBF,” McHenry said.

Issuers or investors with questions about EFD can contact the NDBF at (402) 471-3445.

More information about the laws governing the securities industry in Nebraska can be found on NDBF’s website at [www.ndbf.nebraska.gov](http://www.ndbf.nebraska.gov). If you have questions about any investment matter, call NDBF’s Consumer Hotline toll free at (877) 471-3445 in Nebraska, or (402) 471-3445 if you are out of state.

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