

Application for Credit Union Loan Officer License

Nebraska Department of Banking & Finance

www.ndbf.org

Commerce Court
1230 "O" Street, Suite 400
PO Box 95006
Lincoln, NE 68509-5006
402-471-2171

This application for a Loan Officer License is made pursuant to the provisions of the Nebraska Statutes governing Credit Unions and will be used by the Department as a basis for investigative information pursuant to Section 21-1770 of the Nebraska Credit Union Act.

To the Director:

Application is hereby made for a Loan Officer License.

1. Applicant Name: _____
Date of Birth (*mm/dd/yy*): __ / ____ / ____ Social Security Number: _____
Residence Address: _____
City/State/Zip Code: _____
Preferred Telephone Number:(_____) _____
2. Credit Union Name: _____
Credit Union Address: _____
City/State/Zip Code: _____
Credit Union Telephone Number:(_____) _____
Credit Union Fax Number:(_____) _____
3. How long have you been employed by the credit union? _____
4. Describe the nature of your duties of your present position with the credit union.

5. Have you ever undergone bankruptcy? Yes No
If yes, give full details.

6. Has your pay ever been garnished? Yes No
If yes, give full details.

7. Have you ever been convicted for the violation of any law other than minor traffic violations? Yes No
If yes, give full details.

8. Have you ever been turned down or cancelled on a personal bond? Yes No
If yes, state name of bonding company and date of termination or rejection.

9. List all professional licenses (past and current) you have held and the state from which the licenses were issued.

10. Have any professional licenses ever been suspended or revoked? Yes No
If yes, please explain:

11. Attach a resume showing your educational background and work history.

12. Complete the attached *Financial Statement*.

13. Attach a list of the names and address of five (5) references (not relatives or current employers).

14. Either the Credit Committee, or Chief Executive Officer must complete and sign the attached *Credit Union Authorization for a Loan Officer License* and include it with this application.

15. Complete the attached United States Citizenship Attestation Form.

16. A check in the amount of (\$25) twenty-five dollars for the statutory fee must accompany the applications. Make the check payable to the "Nebraska Department of Banking and Finance."

I understand that any false information given in this questionnaire and attachments will be sufficient reason for rejection of my application for a Loan Officer License and/or administrative actions.

Date

Signature of Applicant

Typed/Printed Name

Confidential Financial Statement

Name and Address: _____

This Financial Statement and the information herein is a correct and complete statement of the financial condition of the above named individual as of the _____ day of _____, _____. This information is submitted to the Nebraska Department of Banking and Finance for its confidential use, in connection with the attached *Application for Credit Union Loan Officer License*.

NOTE: An answer to each item is required. If the answer is “No”, “None”, or “Not applicable” so state. If an item of information called for is unknown, so state. If space provided on this form is inadequate, attach a separate schedule. All such schedules should be signed and dated.

Assets			Liabilities		
1)	Cash on hand and in banks	\$	9)	Accounts payable	\$
2)	Notes, loans, & other accounts receivable considered good & collectable	\$	10)	Notes payable to banks	\$
3)	Merchandise & inventory at lower of cost or market value	\$	11)	Notes payable to others	\$
4)	Real estate	\$	12)	Real estate mortgages	\$
5)	Machinery & equipment - at cost less depreciation	\$	13)	Interest and taxes due and unpaid	\$
6)	Marketable securities	\$	14)	Credit Cards	\$
				Other debts & liabilities	\$
7)	Life insurance (face amount \$ _____) cash surrender value	\$		Total Liabilities	\$
8)	Other assets	\$	15)	Net Worth	\$
	Total Assets	\$		Total Liabilities & Net Worth	\$
NOTE: Estimated value of notes, accounts receivable, mortgages, and other assets considered doubtful and not included in above financial statement:			\$		

Contingent Liabilities (If none, so state)

In addition to the debts and liabilities listed above, have you endorsed, guaranteed, or become otherwise indirectly or contingently liable for the debts of others? Yes No

If "yes", give details in the following schedule

Name and address of Debtor or Obligor	Name and address of Creditor or Obligee	Description of Collateral	Value of Collateral	Date Obligation		Current Amount
				Incurred	Due	
			\$			\$
			\$			\$
			\$			\$
			\$			\$
					TOTAL	\$

SPACE BELOW FOR USE BY THE DEPARTMENT OF BANKING AND FINANCE

Credit Union Authorization for a Loan Officer License

To the Credit Committee, Chief Executive Officer:

Please complete and return with the appropriate *Application for Credit Union Loan Officer License*.

1. Full name and address of the applicant Credit Union.

2. Full name and address of the proposed Loan Officer.

3. Date of Birth _____ Social Security Number _____

4. How long has the proposed Loan Officer been employed by applicant Credit Union and in what capacity?

5. Why do you feel this person is worthy of being a licensed Loan Officer for your Credit Union? Include financial experience, if any.

6. What is the proposed dollar limit per loan for the proposed Loan Officer? _____

7. What other restrictions are anticipated for the proposed Loan Officer? (For example: Up to \$X on new car loans, or up to \$X on share guaranteed loans, or excluding renewals, etc.)

8. Please type the name of all Credit Committee members, or the Chief Executive Officer, immediately under their signatures.

We/I, the Credit Committee / Chief Executive Officer of the _____

____ Credit Union do hereby attest that all of the information submitted in this application is correct to the best of our knowledge, and that we are/I am the entire Credit Committee / Chief Executive Officer duly elected by our fellow members to serve during the time at which this application is being made.

Date

Signature

Typewritten Name

Signature

Typewritten Name

Signature

Typewritten Name

Signature

Typewritten Name

Signature

Typewritten Name

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United States Citizenship Attestation Form

For the purpose of complying with Neb. Rev. Stat. §§ 4-108 through 4-114, I attest as follows:

I am a citizen of the United States.

— OR —

I am a qualified alien under the federal Immigration and Nationality Act, my immigration status and alien number are as follows: _____, and I agree to provide a copy of my USCIS documentation upon request.

I hereby attest that my response and the information provided on this form and any related application for public benefits are true, complete, and accurate and I understand that this information may be used to verify my lawful presence in the United States.

PRINT NAME	<hr/> <u>(first, middle, last)</u>
SIGNATURE	<hr/> <hr/>
DATE	<hr/> <hr/>